Organizational Principles to Guide and Define the Child Health Care System and/or Improve the Health of all Children

### **POLICY STATEMENT**

## Instrument-Based Pediatric Vision Screening Policy Statement

AMERICAN ACADEMY OF PEDIATRICS Section on Ophthalmology and Committee on Practice and Ambulatory Medicine; AMERICAN ACADEMY OF OPHTHALMOLOGY; AMERICAN ASSOCIATION FOR PEDIATRIC OPHTHALMOLOGY AND STRABISMUS; and AMERICAN ASSOCIATION OF CERTIFIED ORTHOPTISTS

#### **KEY WORDS**

vision screening, young children, instrument-based screening, visual acuity, automated technology

#### **ABBREVIATION**

RVU—relative value unit

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### abstract



A policy statement describing the use of automated vision screening technology (instrument-based vision screening) is presented. Screening for amblyogenic refractive error with instrument-based screening is not dependent on behavioral responses of children, as when visual acuity is measured. Instrument-based screening is quick, requires minimal cooperation of the child, and is especially useful in the preverbal, preliterate, or developmentally delayed child. Children younger than 4 years can benefit from instrument-based screening, and visual acuity testing can be used reliably in older children. Adoption of this new technology is highly dependent on third-party payment policies, which could present a significant barrier to adoption. *Pediatrics* 2012;130:983–986

### **INTRODUCTION**

With recent research and development of improved screening and refractive devices, this policy statement supplants the 2002 position paper<sup>1</sup> and is in accord with the overall vision screening policy of the American Academy of Pediatrics.<sup>2</sup> This statement is cosponsored by the American Academy of Ophthalmology, the American Association for Pediatric Ophthalmology and Strabismus, and the American Association of Certified Orthoptists.

The goal of vision screening is to detect subnormal vision or risk factors that threaten visual development, preferably at a time when treatment can be initiated to yield the highest benefit.<sup>3</sup> A primary goal of vision screening in young children is the detection of amblyopia or the risk factors for development of amblyopia, a neural deficit in vision that is estimated to be present in 1% to 4% of children.4 Amblyopia can be caused by obscured images (eg, from infantile cataracts), misaligned images (eg, from constant strabismus), or defocused images (eg, from different refractive errors between the eyes, termed anisometropia). The hallmark of amblyopia is decreased visual acuity, typically monocular, for which no ocular structural disorder fully accounts. However, successful visual acuity testing by using a vision chart is highly dependent on patient age and screener experience. In children younger than 3 years, few professionals can reliably determine acuity in each eye by using a vision chart.<sup>5</sup> Therefore, for younger children, the preferred methodology is instrument-based detection of risk factors for amblyopia—primarily photoscreening and autorefraction.

### PHOTOSCREENERS, AUTOREFRACTORS, AND OTHER INSTRUMENTS

Instrument-based screening, if performed and interpreted correctly by appropriately trained individuals, usually identifies the presence and magnitude of optical and physical abnormalities of the eyes; it is quick and requires minimal cooperation from the child. Instrument-based screening systems typically produce a hard copy or digital record for inclusion in the patient record to document that screening was performed and, in some cases, provide an interpretation of the data.

Photoscreening uses optical images of the eye's red reflex to estimate refractive error, media opacity, ocular alignment, and other factors, such as ocular adnexal deformities (eg, ptosis), all of which put a child at risk for developing amblyopia. Photoscreening instruments, which assess both eyes simultaneously, have been found to be useful for screening children, 6–10 and their output is interpreted by operators, by a central reading center, or by computer.

Autorefraction involves optically automated skiascopy methods or wavefront technology (Shack-Hartmann) to evaluate the refractive error of each eve. The National Institutes of Healthsponsored Vision in Preschoolers Study<sup>7</sup> systematically evaluated instrumentbased screening methods and compared them with visual acuity-based screening when administered by licensed eye-care professionals. For the conditions most important to detect and treat early and for a specificity of 90%, autorefraction had sensitivity of 81% to 88%, with the use of specified referral criteria. For these conditions, visual acuity testing had 77% sensitivity at 90% specificity. A disadvantage of autorefractors is that they typically measure only 1 eye at a time, limiting their ability to detect strabismus in the absence of abnormal refractive error. However, in contrast to tabletop autorefractors, which are difficult to use with very young children, portable, handheld autorefractors are useful for screening young children.<sup>7,11–15</sup> Autorefraction data yield numeric results that are analyzed by the evaluator or by the instrument itself to determine if a child passes or fails the screening.

Other instruments have been or are being developed to objectively evaluate the eye or visual system for the presence of risk factors for amblyopia. These instruments are, at present, without a sufficient evidence base for recommendation.

As with any screening device, the sensitivity and specificity will depend on the referral criteria used. Alterations in referral criteria result in an inverse relationship between sensitivity and specificity, such that high detection of at-risk children (ie, high sensitivity) risks excessive overreferrals (ie, low specificity), and minimization of overreferrals (ie, high specificity) reduces detection of at-risk children (ie, low sensitivity).

Both photoscreening and autorefraction offer hope in improving vision-screening rates in preverbal children, preliterate children, and those with developmental delays, who are the most difficult to screen. Children younger than 4 years can benefit from instrument-based screenings. For children 4 to 5 years of age, photoscreening and autorefraction have not been shown to be superior or inferior to visual acuity testing with the use of vision charts.7 In children older than 5 years, visual acuity testing by using vision charts can be used reliably and should be performed every 1 to 2 years.2

## BARRIERS TO THE USE OF INSTRUMENT-BASED VISUAL SCREENING

Although all of the aforementioned instruments are available for use in

a primary care setting, all of them involve substantial costs to the primary care practice. The instruments themselves often cost thousands of dollars, in addition to the costs of printers and supplies for each test performed. There are additional indirect costs, including space and staff time required to perform these tests, as well as physician time to interpret them. High initial capital investments for these instruments may be reduced if suppliers offer a leasing option as an alternative to purchasing equipment, but these costs must still be calculated into the total costs of performing the test. Although Current Procedural Terminology codes are available for such devices, there is never a guarantee of payment from third-party payers, even if the appropriate code is used. Historically, when such codes increase in frequency, third-party payers simply cease paying them. Additionally, visual screening is often inappropriately bundled into a global fee for the health maintenance visit, despite the fact that this is a separately identifiable service with real costs and established relative value units (RVUs). The adoption of any such technology will be highly dependent on the payment decisions of thirdparty payers. Primary care physicians will likely be slow to adopt these new technologies, despite their merit, if they are expected to absorb the cost without adequate payment for their up-front costs and their time. A level-1 Current Procedural Terminology code, 99174 with RVU 0.69, has been assigned to photoscreening. The adequacy of such an RVU depends on the cost of the screening device.

### RECOMMENDATIONS

 Vision screening should be performed at an early age and at regular intervals with age-appropriate, valid methods, ideally within the

- medical home. The goal remains to identify and treat preventable visual impairment at the earliest feasible age.
- Photoscreening and handheld autorefraction may be electively performed in children 6 months to 3 years of age, allowing earlier detection of conditions that may lead to amblyopia, as well as in older children who are unable or unwilling to cooperate with routine acuity screening.
- Photoscreening and handheld autorefraction are recommended as an alternative to visual acuity screening with vision charts from 3 through 5 years of age, after which visual acuity screening with vision charts becomes more efficient and less costly in the medical home. Adequate payment for instrumentbased vision-screening services must be ensured if there is to be widespread adoption of this recommendation.
- Alternatively, the use of vision charts and standard physical examination techniques to assess amblyopia in children 3 to 5 years of age in the medical home remains a viable practice at the present time.
- There is no recommendation for mass screening at this time.

- Vision screening is a separately identifiable service and should not be bundled into the global code of well-child care. Adequate payment for photoscreening and handheld autorefraction must be ensured if there is to be widespread adoption of this recommendation.
- Regardless of the type of photoscreening or autorefraction system used, it is recommended that the evaluator know how to apply the technology properly and understand the limitations of the test in relation to the population being tested.
- The American Academy of Pediatrics, American Academy of Ophthalmology, American Association for Pediatric Ophthalmology and Strabismus, and American Association of Certified Orthoptists advocate additional research of photoscreening and handheld autorefraction devices and other vision screening methods to elucidate the validity of results, efficacy, cost-effectiveness, and payment policies for identifying amblyogenic factors in different age groups and subgroups of children. The goal remains to eliminate preventable childhood visual impairment.

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